

# Nuclear Level Densities in Actinides by Shell-Model Monte Carlo

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- 1 Applying the shell-model Monte Carlo (SMMC) method to study actinides for the first time
- 2 Nuclear state and level densities (NLDs)
- 3 Evidence of the pairing correlations at low excitation energies
- 4 Results: Our interaction and model space gives level densities that agree with those from Oslo method and predicts NLDs for other actinides

Based on work by D.D. and Y. Alhassid

## Possible applications:

- $\gamma$ -ray strength functions in actinides - two-peak scissor mode; LEE not yet observed in Oslo experiments
- Deformation-dependent NLDs and potential energy surfaces are important inputs to nuclear fission calculations [Pei et. al PRL \(2009\)](#)
- Shapes of colliding nuclei, such as in  $^{238}\text{U} + ^{238}\text{U}$  collisions at RHIC affect elliptic flow and momentum distributions [Giacalone et. al. PRL \(2021\)](#)

## Challenges (compared to the previously-studied lanthanides):

- Larger single-particle model space greatly increases CPU time and memory costs
- Actinides have lower first excited states  $\sim 40$  keV, compared to lanthanides  $> 70$  keV, requiring larger values of the inverse temperature  $\beta = 1/T$  to study ground-state properties

- The goal is to calculate nuclear properties starting from a microscopic description of the underlying nucleon-nucleon interactions
- Other ab-initio methods have been developed (i.e., no-core shell model, coupled cluster method, lattice field theory), but they are limited to lighter nuclei and/or smaller model spaces due to the computational cost.
- Many calculations of heavy nuclei rely on mean-field approaches (e.g, density functional theory) which miss important correlations. In particular, they underestimate the NLDs of deformed nuclei by an order of magnitude or more
- The SMMC enables microscopic calculations of nuclear properties at finite temperature in much larger model spaces than other methods (e.g.,  $\sim 10^{33}$  in Cf isotopes)

# The Hubbard-Stratonovich Transformation

The Hubbard-Stratonovich transformation describes the Gibbs ensemble at inverse temperature  $\beta = 1/T$  as a path integral over auxiliary  $\sigma$  fields:

$$e^{-\beta\hat{H}} = \int D[\sigma] G_\sigma \hat{U}_\sigma,$$

where  $\hat{U}_\sigma$  is the propagator of non-interacting nucleons and  $G_\sigma$  is the Gaussian weight.

This transforms the theory from one of interacting fermions into one of non-interacting fermions in time-dependent fields  $\sigma(\tau)$ . The integration over the large number of fields requires Monte-Carlo methods

The integrand reduces to matrix algebra in the single-particle space ( $\sim 100$  orbitals) in contrast to the combinatorial increase of the many-particle space in direct diagonalization methods.

We use a canonical ensemble of fixed numbers of protons and neutrons by implementing an exact particle-number projection (discrete Fourier transform)

$$\text{Tr}_A \hat{U}_\sigma = \frac{e^{-\beta\mu A}}{N_s} \sum_{m=1}^{N_s} e^{-i\phi_m A} \det(\mathbf{1} + e^{i\phi_m + \beta\mu} \mathbf{U}_\sigma)$$

- The model space for actinides:  
protons: the 82-126 shell plus  $1g_{9/2}$   
neutrons: the 126-184 shell plus  $1h_{11/2}$
- Configuration-interaction Hamiltonian with Wood-Saxon plus spin-orbit s.p. potential and a pairing-plus-multipole interaction (quadrupole, octupole, and hexadecupole)

$$\hat{H}_{int} = - \sum_{\nu} g_{\nu} \hat{P}_{\nu}^{\dagger} \hat{P}_{\nu} - \sum_{\lambda} \chi_{\lambda} (\hat{O}_{\lambda,p} + \hat{O}_{\lambda,n}) \cdot (\hat{O}_{\lambda,p} + \hat{O}_{\lambda,n})$$

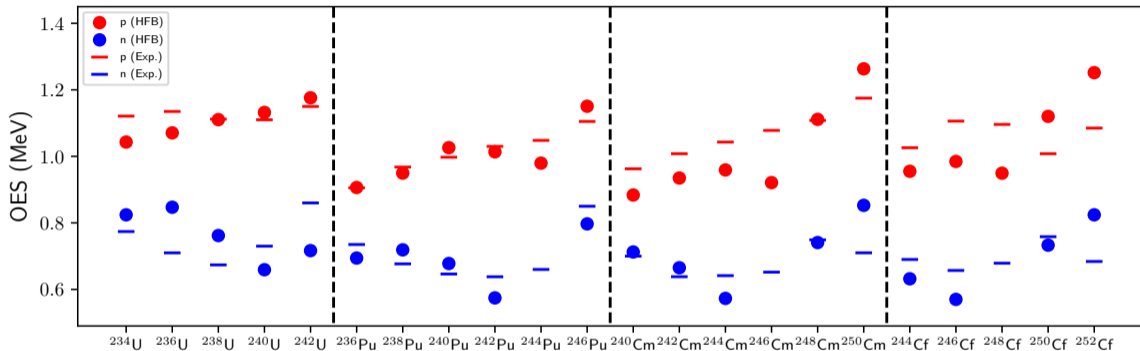
- Current empirical  $N$ -dependent interaction parameters:

$$\chi_{\lambda} = \bar{\chi}_{\lambda} k_{\lambda} \quad \leftarrow k_{\lambda} \text{ are renormalization factors}$$

$$k_2 = 2.29 - \frac{1.124}{(N-148)^2 + 13.85} - 0.01375(N - 148) \quad k_3 = k_4 = 1$$

$$g_p = 0.10845 + 0.00058(N - 148) \quad g_n = 0.0671 + 0.000517(N - 148)^{5/4}$$

# Determining the Interaction



Interaction parameters provide good overall agreement of experimental three-point odd even mass staggering (OES), which is estimated with proton and neutron pairing gaps computed in HFB  $\Delta_\nu \approx 0.5 \text{ OES}_\nu$

Also tuned parameters to match experimental state densities from level counting at low energies and neutron resonance spacing data

- Compute the thermal energy  $E(\beta) = \langle H \rangle$  over a range of inverse temperatures  $\beta$  from the ground state  $\beta \sim 40 \text{ MeV}^{-1}$  up to  $\beta = 0$
- The state density  $\rho(E_x)$  is the inverse Laplace transformation of the partition function

$$\rho(E_x) = \frac{1}{2\pi i} \int_{-i\infty}^{i\infty} d\beta e^{\beta E_x} Z(\beta)$$

- The average state density is given in the saddle-point approximation

$$\rho(E_x) \approx \frac{1}{\sqrt{2\pi T^2 C}} e^{S(E_x)},$$

where  $S(E) = \ln Z + \beta E$  is the entropy and  $C = -\beta^2 \frac{\partial E}{\partial \beta}$  is the heat capacity.

$Z$  is calculated by integrating  $E(\beta) = -\frac{\partial \ln Z}{\partial \beta}$

- However, most experiments like the Oslo method measure the *level* densities...

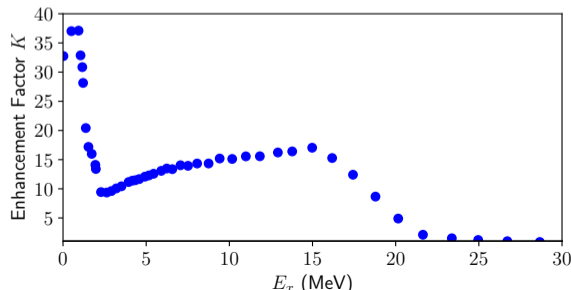
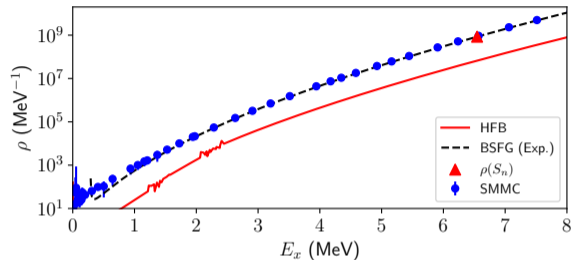
SMMC results agree with back-shifted Fermi gas (BSFG) formula with parameters  $a$  and  $\Delta$  fitted to experimental data

$$\rho_{\text{BSFG}}(E_x) = \frac{\sqrt{\pi} e^{2\sqrt{a(E_x - \Delta)}}}{12a^{1/4}(E_x - \Delta)^{5/4}}$$

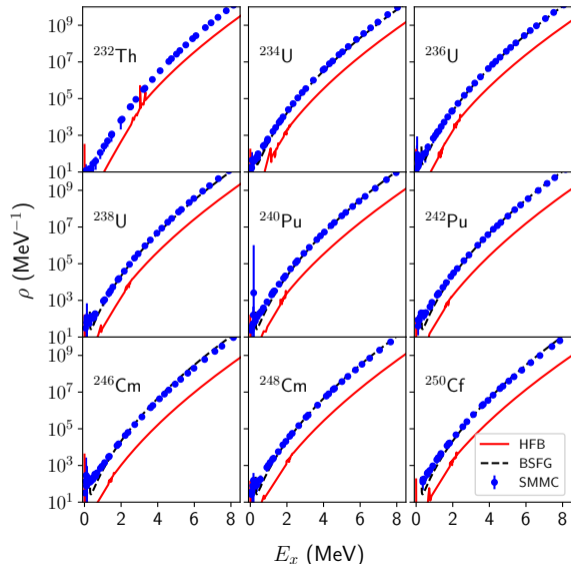
where  $a = 26.141 \text{ MeV}^{-1}$  and  $\Delta = 0.288 \text{ MeV}$

Enhancement factor  $K = \rho_{\text{SMMC}}/\rho_{\text{HFB}} > 10$  for energies up to and beyond the neutron separation energy  $S_n$

Pairing and shape transitions are clearly visible in  $K$



Nucleus	$a$ (MeV $^{-1}$ )		$\Delta$ (MeV)	
	Exp.	SMMC	Exp.	SMMC
$^{232}\text{Th}$	-	27.467	-	0.745
$^{234}\text{U}$	25.387	25.779	0.316	0.308
$^{236}\text{U}$	26.141	26.161	0.288	0.260
$^{238}\text{U}$	25.946	26.787	0.273	0.331
$^{240}\text{Pu}$	25.630	25.492	0.282	0.157
$^{242}\text{Pu}$	26.000	25.788	0.259	0.232
$^{246}\text{Cm}$	26.415	25.376	0.543	0.286
$^{248}\text{Cm}$	26.015	25.762	0.500	0.258
$^{250}\text{Cf}$	25.597	24.994	0.527	0.114

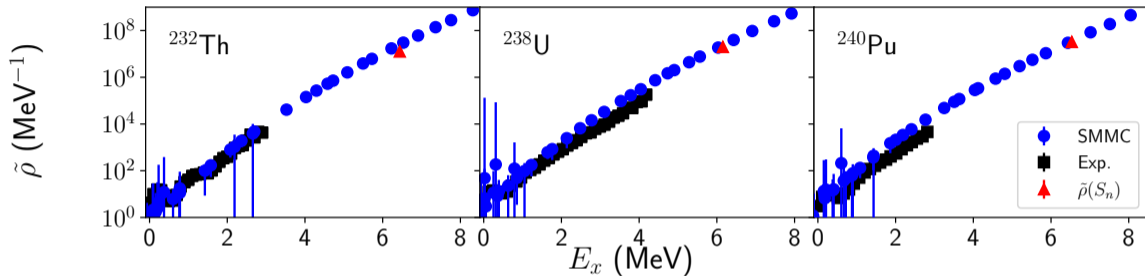


- The state density counts all  $2J + 1$  degenerate states within each level. To compute the level density  $\tilde{\rho}(E_x)$ , we want to count each level only once.
- Perform additional projections onto specific values of spin component  $M$  [Alhassid, Liu, and Nakada, PRL \(2007\)](#)

$$\mathrm{Tr}_M \hat{X} = \frac{1}{2J_s + 1} \sum_{k=-J_s}^{J_s} e^{i\phi_k M} \mathrm{Tr}(e^{i\phi_k \hat{J}_z} \hat{X})$$

- The  $M$ -projected state densities can be calculated using a similar saddle-point formula
- By projecting onto  $M = 0$  ( $M = 1/2$  for odd-mass nuclei), we count only one state from each level, therefore  $\rho_{M=0}(E_x) = \tilde{\rho}(E_x)$
- The spin projection will also enable us to calculate spin distributions at different energies (more on that later)

# Comparing NLDs with Oslo Method

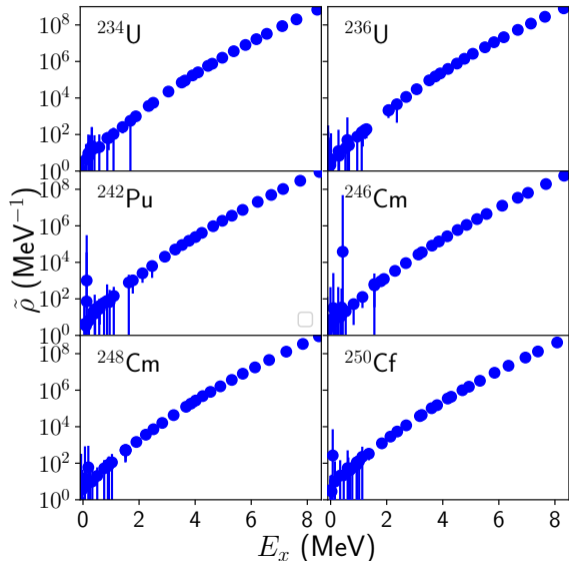


Exp:  $^{232}\text{Th}$ ,  $^{238}\text{U}$  - Guttormsen *et al.* PRC (2013),  $^{240}\text{Pu}$  - Zeiser *et al.* PRC (2019)

SMMC NLDs agree well with Oslo data at  $S_n$

Nucleus	$S_n$ (MeV)	$\tilde{\rho}(S_n)$ ( $10^7 \text{ MeV}^{-1}$ )	
		Exp.	SMMC
$^{232}\text{Th}$	6.440	$1.27 \pm 0.38$	$2.64 \pm 0.43$
$^{238}\text{U}$	6.154	$2.0 \pm 0.8$	$2.50 \pm 0.52$
$^{240}\text{Pu}$	6.534	$3.27 \pm 0.66$	$3.91 \pm 0.73$

Nucleus	$S_n$ (MeV)	$\tilde{\rho}(S_n)$ ( $10^7 \text{ MeV}^{-1}$ )
$^{234}\text{U}$	6.845	$6.08 \pm 1.07$
$^{236}\text{U}$	6.546	$4.51 \pm 0.83$
$^{242}\text{Pu}$	6.310	
$^{246}\text{Cm}$	6.459	$2.60 \pm 0.49$
$^{248}\text{Cm}$	6.212	$2.19 \pm 0.45$
$^{250}\text{Cf}$	6.624	$4.00 \pm 0.82$



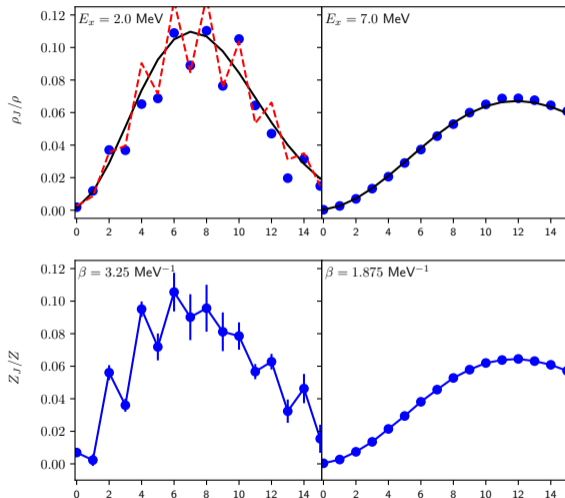
At higher energies, the spin distribution is given by the spin-cutoff model:

$$\frac{\rho_J}{\rho} = \frac{2J+1}{2\sqrt{2\pi}\sigma^3} e^{-J(J+1)/2\sigma^2}$$

Good agreement using the value of  $\sigma$  obtained from the NLD and NSD ratio

Below the pairing transition, the results agree with the empirical staggering formula of **von Egidy and Bucurescu, PRC (2008)**

Ratio of partition functions displays a similar onset of spin staggering at low temperatures  $\rightarrow$



Preliminary - uncertainty analysis not complete

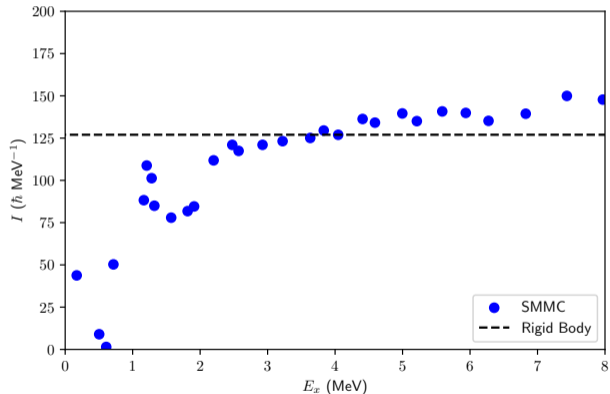
Spin cutoff parameter  $\sigma$  calculated from the ratio of the state and level densities

$$\tilde{\rho}(E_x) = \frac{\rho(E_x)}{\sqrt{2\pi\sigma}}$$

Moment of inertia  $I$  computed from

$$I = \frac{\sigma^2 \hbar^2}{T}$$

Moment of inertia decreases at below  $\sim 4$  MeV, indicating the onset of strong pairing correlations



Preliminary - uncertainty analysis not complete

## Conclusions:

- We successfully extended the SMMC method to actinides, overcoming technical challenges
- SMMC enables microscopic calculations in model spaces that are many orders of magnitude larger than what is possible with direct diagonalization
- Our level densities show good agreement with existing Oslo data and we can make predictions for other nuclei not yet studied experimentally
- Evidence of pairing transitions seen in spin distributions and moment of inertia are in overall agreement with empirical models

## Outlook:

- NLD calculations on neighboring actinides, including odd-mass and possibly odd-odd nuclei
- $\gamma$ -ray strength functions in actinides - Does the LEE persist in these nuclei? Do we see the double-peaked scissor mode?
- Shape-dependent level densities for applications to fission

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